

# Severe mental illness and substance use disorders in prisoners in low-income and middle-income countries: a systematic review and meta-analysis of prevalence studies

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## Summary

**Background** Although more than two thirds of the world's incarcerated individuals are based in low-income and middle-income countries (LMICs), the burden of psychiatric disorders in this population is not known. This review provides estimates for the prevalence of severe mental illness and substance use disorders in incarcerated individuals in LMICs.

**Methods** For this systematic review and meta-analysis, we searched 17 electronic databases to identify prevalence studies of psychiatric disorders in prison populations in LMICs, published between January, 1987, and May, 2018. We included representative studies from general prison samples, providing information about four major psychiatric diagnoses: psychosis, major depression, alcohol use disorders, and drug use disorders. We pooled data from studies using random-effects meta-analyses and assessed the sources of heterogeneity by meta-regression. We extracted general population estimates from the Global Burden of Diseases 2016 database to calculate comparative prevalence ratios. This study is registered with PROSPERO, number CRD42015020905.

**Findings** We identified 23 publications reporting prevalence estimates of severe mental illness and substance use disorders for 14 527 prisoners from 13 LMICs. In this population, the estimated pooled 1 year prevalence rates for psychosis were 6.2% (95% CI 4.0–8.6), 16.0% (11.7–20.8) for major depression, 3.8% (1.2–7.6) for alcohol use disorders, and 5.1% (2.9–7.8) for drug use disorders. We noted increased prevalence at prison intake and geographic variations for substance use disorders. For alcohol use disorders, prevalence was higher in the southeast Asian region than in the eastern Mediterranean region; and drug use disorders were more prevalent in the eastern Mediterranean region than in Europe. Prevalence ratios indicated substantially higher rates of severe mental illness and substance use disorders among prisoners than in the general population (the prevalence of non-affective psychosis was on average 16 times higher, major depression and illicit drug use disorder prevalence were both six times higher, and prevalence of alcohol use disorders was double that of the general population).

**Interpretation** The prevalence of major psychiatric disorders is high in prisoners in LMIC compared with general populations. As these findings are likely to reflect unmet needs, the development of scalable interventions should be a public health priority in resource-poor settings.

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## Introduction

More than 7 million prisoners are based in low-income and middle-income countries (LMICs), comprising about 70% of the world's total prison population.<sup>1</sup> Conditions in these facilities are usually characterised by overcrowding, poor nutrition, and sanitation, and limited or complete lack of access to basic health care, which have raised public health and human rights concerns.<sup>2,3</sup> However, apart from one review in 2012,<sup>4</sup> which included only a few studies from LMICs, the prevalence of major psychiatric disorders is not reliably known.<sup>4,5</sup> Over the past 5 years, several high-quality prevalence studies have been published from LMIC settings.<sup>6,7</sup>

Mental health and substance use disorders are common among people involved with the criminal justice

system.<sup>4,8,9</sup> Although prisoners with unmet mental health-care needs are at higher risk of suicide attempts,<sup>10</sup> mortality,<sup>11</sup> and recidivism after release,<sup>12</sup> mental health disorders often remain undiagnosed and untreated in correctional settings.<sup>3,5</sup> Up to now, most research on mental health problems in prisoners has focused on high-income countries (HICs). Establishing the prevalence rates of severe mental illness and substance use disorders in LMICs will provide a basis for service and policy developments in countries with resource-poor correctional settings.

We aimed to systematically review the literature of severe mental illness (psychotic disorders and major depression) and substance use disorders (alcohol use disorders and illicit drug use disorders) in prison

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### Research in context

#### Evidence before this study

Although 70% of incarcerated men and women are residing in low-income and middle-income countries, almost all evidence on mental disorders among prisoners is based on studies from high-income countries, providing implications that are not applicable or generalisable to poorly resourced settings. The prevalence of psychiatric disorders in the penal justice systems of low-income and middle-income countries (LMICs) is likely to differ from high-income countries because of the scarcity of resources, as well as cultural and legal factors.

To fill this knowledge gap, we systematically searched for prison prevalence studies based in LMICs published between January, 1987, and May, 2018, in 17 electronic global databases, including sources of grey literature. Our search terms covered a range of key words and subject headings on mental health, prison conditions, and epidemiological investigations. We included representative studies from general prison samples from LMICs, providing information about four major psychiatric diagnoses: psychosis, major depression, alcohol use disorders, and drug use disorders, published in any language. Our search identified no systematic reviews focusing on the context of LMICs.

#### Added value of this study

We identified 23 studies from 13 countries, most of which had not previously been included in reviews. Our analysis established the pooled 1 year prevalence rates of four major mental illnesses in prisoner populations in LMICs. Furthermore, our findings emphasise that on arrival to prisons in LMICs, mental disorders may be more prevalent than in samples that also represent later stages of imprisonment.

#### Implications of all the available evidence

In LMICs, the prevalence of psychiatric disorders in prison populations is higher than among people living in the community. Rates in prison populations of LMICs might be even higher than in high-income countries. Because correctional facilities often lack basic health care in low-income and middle-income economies, the implementation of cost-effective interventions and scalable treatments for individuals with mental health problems are needed. Since human rights violations, and physical and psychological abuse are more common in resource-poor correctional settings, protecting the rights and health of people with mental illnesses should be a priority for penal justice policies.

populations in LMICs, to estimate prevalence rates and prevalence ratios, and to examine sources of heterogeneity.

### Methods

This systematic review and meta-analysis was conducted in accordance with the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA).<sup>13</sup>

#### Search strategy and selection criteria

We conducted a multistage search to identify relevant literature on the prevalence of severe mental illness and substance use disorders in prison populations from LMICs published between January, 1987, and May, 2018. The search strategy comprised a search of online databases (ASSIA; CAB Abstracts; CNKI; Criminal Justice Database; Embase; Global Health; IBSS; LILACS; MEDLINE; NCJRS; PAIS Index; PsycINFO; Scopus; Social Services Abstracts) and the grey literature (Google Scholar; Open Grey; ProQuest Dissertations and Theses Global); screening of reference lists of identified papers and relevant reviews; and corresponding with authors to gain additional information or to clarify data. The appendix provides a full list of the search terms used for the online database searches. Articles from all languages were included.

We included studies in which the following criteria were met: data were collected in general prison populations; the sample was representative for the population of the assessed correctional facility; the

study was conducted in a LMIC at the time of data collection or maximum 1 year after classification has changed; the prevalence of severe mental illness and substance use disorders were based on clinical examinations or established with validated questionnaires as part of a clinical or research interview; and diagnoses met the criteria of international diagnostic classifications (Diagnostic and Statistical Manual of Mental Disorders [DSM] or International Classification of Diseases [ICD]). Studies were excluded when: prevalence rates were established in selected subgroups of incarcerated individuals (eg, offender type); sampling strategy was convenient;<sup>14</sup> data originated from a HIC;<sup>15</sup> prevalence was reported based on measures and tools that used solely self-report, which did not fulfil diagnostic criteria. Finally, conference abstracts and duplicates were excluded. Two researchers (GB and CS) screened abstracts and full-texts and disagreements between the reviewers were resolved by consensus with APM.

#### Data analysis

Two reviewers (GB and CS) independently extracted year and country of data collection, sex, age, type of recruitment (from all prisoners or at admission), sampling strategy, non-response rate, time served in prison, interviewer (mental health professional or research assistant), diagnostic classification system (DSM or ICD), diagnostic instrument, and number of incarcerated individuals, for which 1 year prevalence was

See Online for appendix

reported for psychotic illness (ICD-10 codes: F20–F29, F31, F32·3, F33·3) and major depression (F32–33, except F32·3, F33·3). We extracted both 1 year and lifetime prevalence rates of alcohol (F10) and drug use disorders (F11–19, except F17). Male and female samples were considered separately. Studies that did not report separate rates but included less than 10% of the study participants of one sex were included as representative for the other sex; otherwise they were described as mixed samples. When the year of data collection was not reported, we imputed a year based on the average mean difference between the year of publication and data collection derived from the other studies (4 years).<sup>9</sup> We prespecified categories for sample size ( $n < 500$ ,  $n \geq 500$ ) and average time served in prison (time  $< 1$  year, time  $\geq 1$  year). Countries were categorised into LMIC and HIC based on their per capita Gross National Income, calculated with the World Bank's Atlas method for the year of data collection. To examine geographic variation of prevalence estimates within LMIC, we used WHO regional classification. If schizophrenia-spectrum, bipolar disorder (which can present with acute psychotic states), and psychotic depression were presented separately, we combined them, in order to create one estimate for overall psychotic disorders. By combining abuse and dependence disorders, we produced single rates for alcohol and drug use disorders.

To assess methodological quality, two reviewers (GB and CS) evaluated the internal and external validity of the included samples based on a modified scale of ten questions,<sup>16</sup> which allowed a critical appraisal of prevalence rates in epidemiological investigations (appendix).

To account for the heterogeneity between studies, we performed random-effects meta-analysis by estimating the pooled mean of the distribution.<sup>17</sup> For individual samples, we first calculated 95% score confidence intervals (CIs). Variance of the proportions was stabilised with Freeman-Tukey double arcsine transformation and pooled together with the DerSimonian and Laird method.<sup>18</sup> The inconsistency between samples was quantified with  $I^2$ .<sup>19</sup> As previous prevalence meta-analyses reported high between-sample heterogeneity, we also provided prevalence ranges.<sup>20</sup> Sensitivity analysis was conducted pooling 6 month estimates of severe mental illness as reported in a review for HIC.<sup>4</sup> Pooled rates for subgroups were displayed, when at least five samples were present.

We conducted random-effects meta-regressions by assessing pre-specified sample characteristics on the pooled estimate.<sup>17</sup> Models in the meta-regression were fitted with the restricted maximum likelihood method and corrected with the Hartung-Knapp variance estimator.<sup>21</sup> To test whether lower quality investigations systematically distort the pooled estimates, we included the quality score of samples as a covariate. Univariate meta-regression analysis was performed when at least ten samples were

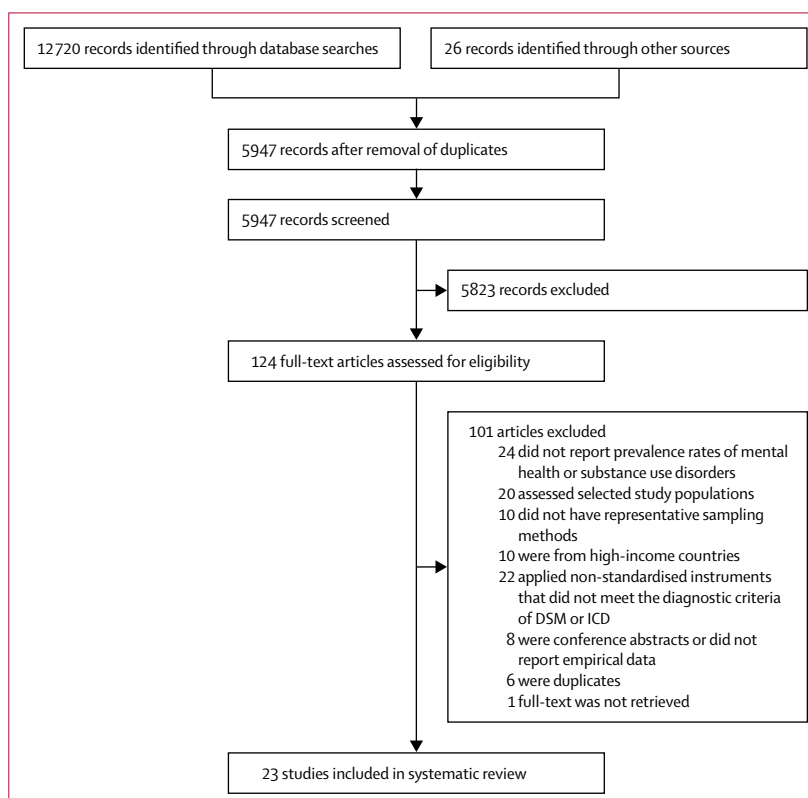
available,<sup>22</sup> multivariate by 20 or more samples, retaining only significant variables ( $p < 0.05$ ).

We calculated prevalence ratios (PR) and their 95% CIs to quantify the difference between the prevalence among prisoners ( $p$ ) in each sample and in the sex-matched general populations ( $P$ ) of the respective countries based on the following equation<sup>23</sup>:

$$\left( PR = \frac{p}{P}; SE = \sqrt{\frac{1}{p \times n} + \frac{1}{P \times N} - \frac{1}{n} - \frac{1}{N}}; \right. \\ \left. 95\% \text{ CI} = e^{\ln(PR) \pm 1.96 \times SE} \right)$$

We extracted sex-specific and country-specific prevalence rates from the Global Burden of Diseases 2016 database for the year of data collection in the respective prison survey. The matching population size ( $N$ ) was imputed from the 2017 Revision of World Population Prospects. Because a national reference for psychosis is not available, rates for schizophrenia were extracted and matched with prison study rates for schizophrenia, if available. If not, we used rates of non-affective psychotic illness. Prevalence ratios were pooled with random-effects meta-analysis. Sensitivity analyses were conducted for studies reporting 6 month rates of severe mental illness; and for schizophrenia, without imputed values of psychotic disorders.

For the **Global Burden of Diseases database** see <http://ghdx.healthdata.org/gbd-results-tool>  
For more on the **World Bank's Atlas method** see <https://data.worldbank.org/>  
For the **2017 Revision of World Population Prospects** see <https://esa.un.org/unpd/wpp/DataQuery>



**Figure 1: Study identification, screening and eligibility test, following the Preferred Reporting Items of Systematic Reviews (PRISMA)**

DSM=Diagnostic and Statistical Manual of Mental Disorders. ICD=International Classification of Diseases.

Biased prevalence estimates might arise not only from the inclusion of studies with lower methodological quality but also from publication or small study bias.<sup>22</sup> To assess publication bias, we drew funnel plots presenting prevalence estimates against their SEs and tested the asymmetry of the funnel plots with Egger's test,<sup>24</sup> when ten or more samples were available.

All analyses were done with STATA (version 13). This study is registered with PROSPERO, number CRD42015020905.

### Role of the funding source

The funder of the study had no role in study design, data collection, data analysis, data interpretation, or writing of

	Country	WHO region	Sex	Sampling	Sample size	Non-response rate (%)	Interviewer	Diagnostic instrument	Diagnostic criteria	Quality appraisal score
Adesanya et al <sup>38</sup>	Nigeria	Africa	Male	Population	395	4.8	Not stated	Not stated	DSM-III-R	6
Andreoli et al <sup>6*</sup> †	Brazil	Americas	Male	Stratified random	1192	26.8	Trained non-clinician	CIDI	ICD-10	8
Andreoli et al <sup>6*</sup> †	Brazil	Americas	Female	Stratified random	617	10.5	Trained non-clinician	CIDI	ICD-10	9
Assadi et al <sup>36</sup> †	Iran	Eastern Mediterranean	Male	Stratified random	351	12.3	Psychiatrist	SCID-CV	DSM-IV	9
Ayilimeethal et al <sup>33</sup> ‡	India	Southeast Asia	Male	Population	222	3.5	Psychiatrist	MINI-Plus	Not stated	8
Ayilimeethal et al <sup>33</sup> ‡	India	Southeast Asia	Female	Population	33	0.0	Psychiatrist	MINI-Plus	Not stated	7
Boşgelmez et al <sup>44</sup>	Turkey	Europe	Male	Stratified random	30	6.3	Psychiatrist, clinical psychologist	SCID	DSM-IV	7
Boşgelmez et al <sup>44</sup>	Turkey	Europe	Female	Stratified random	30	11.8	Psychiatrist, clinical psychologist	SCID	DSM-IV	7
Canazaro and Argimon <sup>27</sup>	Brazil	Americas	Female	Population	287	22.0	Psychology student, psychologist	SCID-CV	DSM-IV	8
El-Gilany et al <sup>30</sup> †	Egypt	Eastern Mediterranean	Mixed	Stratified random	1350	0.5	Psychiatrist	SCID	DSM-IV	8
Goyal et al <sup>32</sup>	India	Southeast Asia	Male	Random	500	Not stated	Consultant	PSE	ICD-10	7
Joshi et al <sup>35</sup>	India	Southeast Asia	Female	Population	50	Not stated	Psychiatrist	Not stated	DSM-IV TR	6
Kaya et al <sup>45*</sup> ‡	Turkey	Europe	Male	Random	305	14.3	Psychiatric assistant, trainee psychiatrist	CIDI	DSM-IV	6
Kumar and Daria <sup>33</sup>	India	Southeast Asia	Male	Random	118	9.2	Psychiatrist	IPIS	ICD-10	7
Majekodunmi et al <sup>39*</sup> ‡	Nigeria	Africa	Male	Random	196	1.5	Psychiatrist	SCID	DSM-IV	8
Math et al <sup>34</sup> †	India	Southeast Asia	Male	Population	5024	Not stated	Research assistant	MINI-Plus	Not stated	4
Mundt et al <sup>7*</sup> ‡	Chile	Americas	Male	Random	855	1.0	Field worker	CIDI	DSM-IV	9
Mundt et al <sup>7*</sup> ‡	Chile	Americas	Female	Random	153	1.0	Field worker	CIDI	DSM-IV	8
Mundt et al <sup>29</sup>	Chile	Americas	Male	Consecutive systematic	229	7.0	Clinical psychologist	MINI	DSM-IV	10
Mundt et al <sup>29</sup>	Chile	Americas	Female	Consecutive	198	7.0	Clinical psychologist	MINI	DSM-IV	9
Naidoo and Mkiye <sup>40</sup>	South Africa	Africa	Male	Stratified systematic random	193	22.8	Psychiatrist	MINI	Not stated	7
Nanéma et al <sup>25</sup> ‡	Burkina Faso	Africa	Male	Systematic random	419	2.8	Medical student	MINI	ICD-10	6
Ndeti et al <sup>41</sup> ‡	South Sudan	Africa	Mixed	Population	192	53.5	Clinical psychologist	MINI-Plus	ICD-10	5
Niriella et al <sup>42</sup>	Sri Lanka	Southeast Asia	Male	Random	325	0.8	Trained research assistant	Not stated	ICD-10	7
Niriella et al <sup>42</sup>	Sri Lanka	Southeast Asia	Female	Random	68	0.8	Trained research assistant	Not stated	ICD-10	6
Pondé et al <sup>26</sup>	Brazil	Americas	Male	Random; population	497	4.0	Medical student	MINI-Plus	DSM-IV	7
Salifou et al <sup>43</sup> ‡	Togo	Africa	Female	Population	61	9.0	Psychiatrist, psychologist	Clinical Interview	DSM-V	7
Silva et al <sup>28</sup> ‡	Brazil	Americas	Male	Consecutive	466	3.0	Not stated	MINI-Plus	DSM-IV	7
Silva et al <sup>28</sup> ‡	Brazil	Americas	Female	Consecutive	91	3.0	Not stated	MINI-Plus	DSM-IV	6
Zamzam and Hatta <sup>37</sup> †	Malaysia	Western Pacific	Female	Population	80	3.6	Trainee psychiatrist	CIDI	Not stated	7

CIDI=Composite International Diagnostic Interview. DSM=Diagnostic and Statistical Manual of Mental Disorders. ICD=International Classification of Diseases. IPIS=Indian Psychiatric Interview Schedule. MINI=Mini-International Neuropsychiatric Interview. PSE=Present State Examination. SCID=Structured Clinical Interview for DSM Disorders. \*Results are based on 1 year coverage. †Study reported separate rate for schizophrenia. ‡Authors provided additional data.

**Table 1: Studies reporting prevalence estimates for severe mental disorders or substance use disorders in prison populations of low-income and middle-income countries**

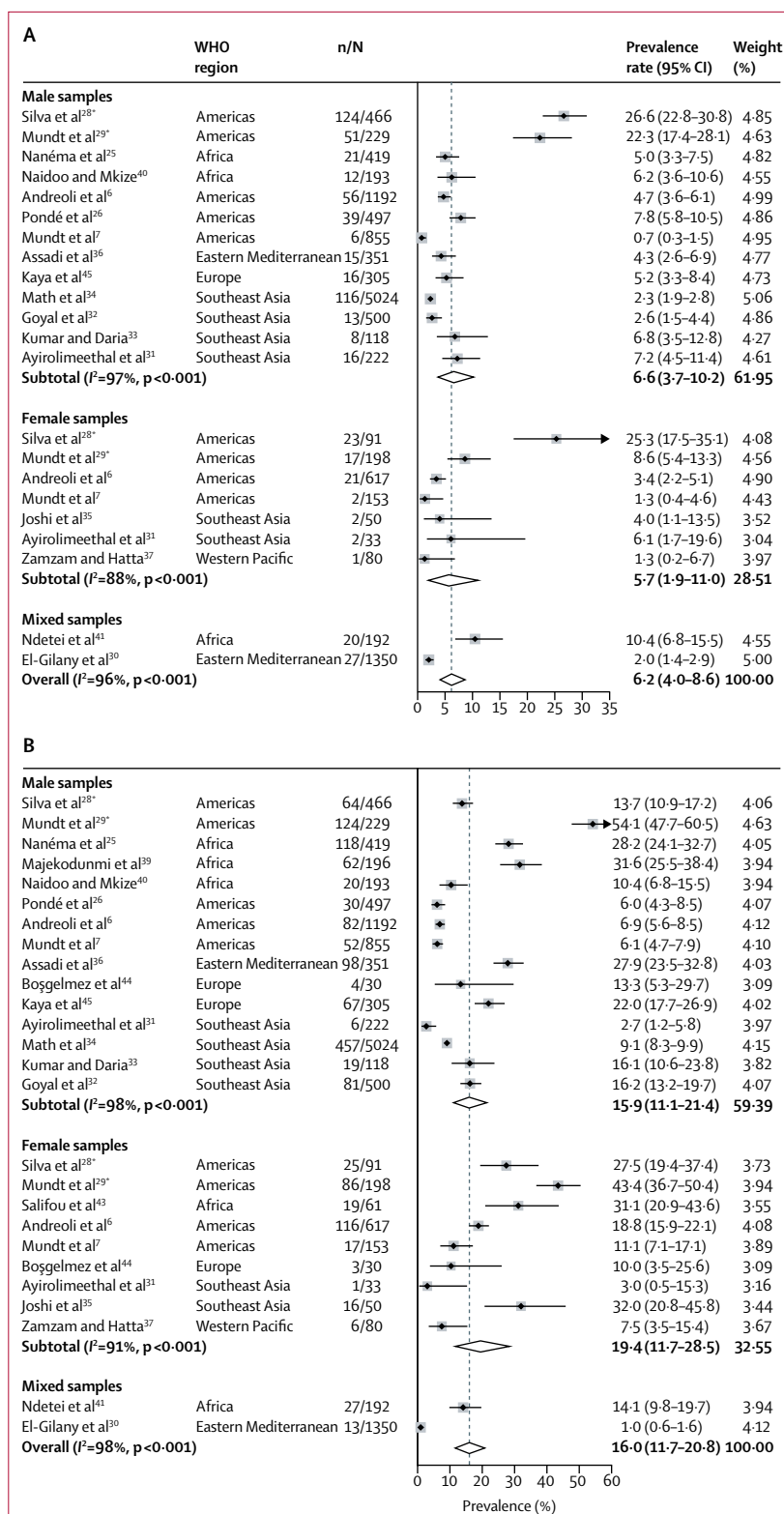
the report. The corresponding author had full access to all the data in the study and had final responsibility for the decision to submit for publication.

## Results

We identified 23 publications with 30 samples published between 1997 and 2018 (figure 1). They provided data from 13 different LMICs: Burkina Faso,<sup>25</sup> Brazil,<sup>6,26–28</sup> Chile,<sup>7,29</sup> Egypt,<sup>30</sup> India,<sup>31–35</sup> Iran,<sup>36</sup> Malaysia,<sup>37</sup> Nigeria,<sup>38,39</sup> South Africa,<sup>40</sup> South Sudan,<sup>41</sup> Sri Lanka,<sup>42</sup> Togo,<sup>43</sup> and Turkey.<sup>44,45</sup> Five studies were written in languages other than English: two in French,<sup>25,43</sup> two in Portuguese,<sup>27,28</sup> and one in Turkish.<sup>45</sup> Of 14527 imprisoned individuals, 85% were men and the weighted mean age was 31·8 years. Approximately 93% of the participants were prisoners in wards, while 7% at arrival to prison (table 1; appendix).

1 year prevalence rates of psychotic disorders were reported in 22 samples involving 13135 individuals.<sup>6,7,25,26,28–37,40,41,45</sup> The random-effects pooled prevalence was 6·2% (95% CI 4·0–8·6) with very high between-sample heterogeneity ( $I^2=96$ ;  $p<0\cdot001$ ; figure 2). We noted 15·8 times (95% CI 8·7–28·9) higher rates of non-affective psychosis than in the general population (table 2). Meta-regression indicated lower prevalence of psychosis in studies with smaller sample sizes ( $\beta=-0\cdot076$ ;  $p=0\cdot004$ ), decreasing rates with longer time spent in prison ( $\beta=-0\cdot146$ ;  $p<0\cdot001$ ), and higher estimates in samples recruited at prison intake ( $\beta=0\cdot186$ ;  $p<0\cdot001$ ). In the multivariate model, only the elevated prevalence of admission samples remained significant ( $\beta=0\cdot138$ ;  $p=0\cdot026$ ; appendix). The pooled prevalence of psychosis was 3·9% (95% CI 2·8–5·8) in non-admission samples. For this subgroup, prevalence rates ranged from 0·7% to 10·4% with substantial heterogeneity ( $I^2=87$ ;  $p<0\cdot001$ ) and were slightly higher in male (4·3%; 95% CI 2·9–6·0) than in female populations (2·5%; 1·5–3·7; data not shown). In the four admission samples,<sup>28,29</sup> the prevalence varied between 8·6% and 26·6%.

We identified 26 samples reporting 1 year prevalence of major depression ( $n=13\,452$ ).<sup>6,7,25,26,28–37,39–41,43–45</sup> The pooled 1 year prevalence was 16·0% (95% CI 11·7–20·8) with substantial heterogeneity ( $I^2=98$ ;  $p<0\cdot001$ ; figure 2), indicating 6·0 times (95% CI 4·4–8·0) higher rates than in the general population (table 2). Meta-regression found increased prevalence of major depression at admission ( $\beta=0\cdot199$ ;  $p=0\cdot005$ ) and lower estimates in larger samples ( $\beta=-0\cdot116$ ;  $p=0\cdot039$ ), of which only higher prevalence at prison intake remain significant in the multivariate model ( $\beta=0\cdot168$ ;  $p=0\cdot017$ ; appendix). The pooled estimate of major depression in non-admission samples was 13·2% (95% CI 9·5–17·4). For these individuals, prevalence varied from 1·0% to 32·0%, with very high heterogeneity ( $I^2=97$ ;  $p<0\cdot001$ ), and averaged 13·8% (95% CI 9·7–18·4) in men and 15·2% (9·2–22·4) in women. At prison intake,<sup>28,29</sup> the estimates ranged between 13·7% and 54·1%.



**Figure 2: Random-effects meta-analyses of 1-year prevalence studies reporting psychotic disorders (A) and major depression (B) in prison populations in low-income and middle-income countries**

\*Samples were recruited at intake to prison.



Findings of our sensitivity analysis on non-admission samples showed no significant variation in prevalence rates or prevalence ratios for severe mental illness in samples reporting only 6 month estimates. The prevalence ratio for samples reporting solely schizophrenia was 7.9 (95% CI 4.9–12.7) compared with the general population (appendix).

For substance use disorders, we considered admission and non-admission samples separately because the

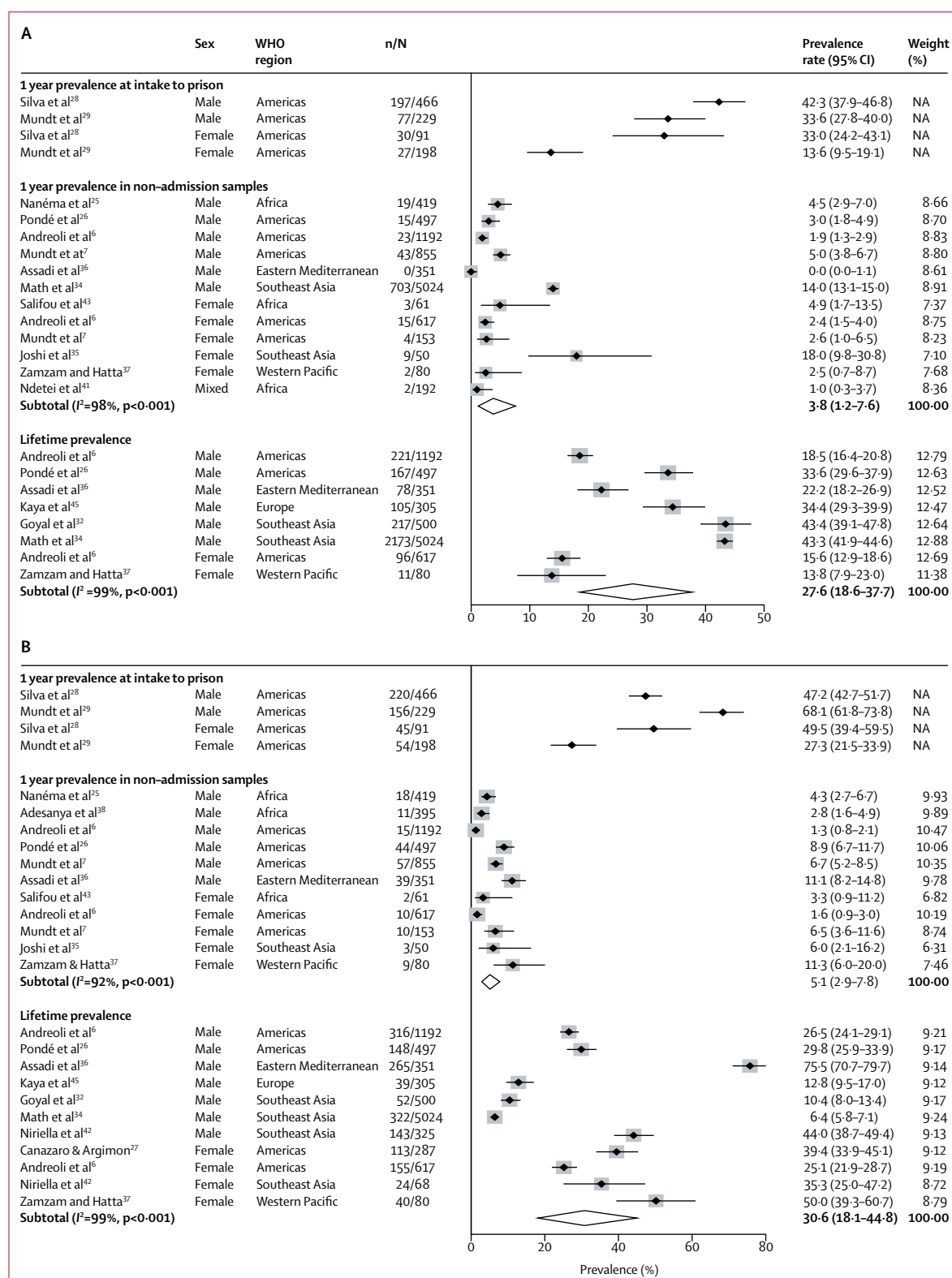
former were likely to be higher and more comparable to the literature coming from HIC.<sup>8</sup> At prison intake,<sup>28,29</sup> the 1 year prevalence of alcohol use disorders ranged from 13.6% to 42.3%, and for drug use disorders estimates were between 27.3% and 68.1%.

We identified 12 non-admission samples reporting 1 year prevalence of alcohol use disorders (n=9491).<sup>6,7,25,26,34–37,41,43</sup> The pooled prevalence was 3.8%

	Study	Sex	Psychotic disorders			Major depression		
			Population prevalence	Prevalence ratio		Population prevalence	Prevalence ratio	
				Estimate	95% CI		Estimate	95% CI
Africa								
Burkina Faso	Nanéma et al <sup>25</sup>	Men	0.12	41.67*	27.48–63.28	1.48	19.05	16.35–22.20
Nigeria	Majekodunmi et al <sup>39</sup>	Men	..	..	..	1.74	18.16	14.78–22.32
South Africa	Naidoo and Mkize <sup>40</sup>	Men	0.19	24.74*	13.10–46.70	2.21	4.71	3.11–7.12
South Sudan	Ndetei et al <sup>41</sup>	Mixed	0.13	32.31	16.44–63.50	1.97	7.16	5.05–10.15
Togo	Salifou et al <sup>43</sup>	Women	..	..	..	2.45	12.69	8.74–18.44
Americas								
Brazil	Andreoli et al <sup>6</sup>	Men	0.22	8.64	5.74–12.99	1.95	3.54	2.87–4.36
Brazil	Pondé et al <sup>26</sup>	Men	0.22	27.27*	19.26–38.63	2.02	2.97	2.10–4.21
Brazil	Silva et al <sup>28†</sup>	Men	0.22	120.91*	103.98–140.60	1.95	7.03	5.59–8.82
Brazil	Andreoli et al <sup>6</sup>	Women	0.20	7.50	3.96–14.22	4.26	4.37	3.70–5.15
Brazil	Silva et al <sup>28†</sup>	Women	0.20	126.50*	88.87–180.07	4.26	6.46	4.62–9.01
Chile	Mundt et al <sup>7</sup>	Men	0.23	3.04*	1.37–6.76	2.13	2.86	2.20–3.73
Chile	Mundt et al <sup>29†</sup>	Men	0.23	96.96*	76.10–123.52	2.16	25.05	22.23–28.22
Chile	Mundt et al <sup>7</sup>	Women	0.21	6.19*	1.56–24.63	3.79	2.93	1.87–4.59
Chile	Mundt et al <sup>29†</sup>	Women	0.22	39.09*	24.82–61.57	3.61	12.02	10.25–14.10
Eastern Mediterranean								
Iran	Assadi et al <sup>36</sup>	Men	0.18	11.11	5.34–23.11	3.15	8.86	7.49–10.48
Egypt	El-Gilany et al <sup>30</sup>	Mixed	0.18	4.44	2.45–8.05	2.28	0.42	0.25–0.72
Europe								
Turkey	Boşgelmez et al <sup>44</sup>	Men	..	..	..	2.05	6.49	2.60–16.18
Turkey	Kaya et al <sup>45</sup>	Men	0.19	5.26*	1.72–16.08	2.02	10.88	8.80–13.44
Turkey	Boşgelmez et al <sup>44</sup>	Women	..	..	..	3.66	2.73	0.93–7.99
Southeast Asia								
India	Ayirolimeethal et al <sup>31</sup>	Men	0.24	28.33*	17.41–46.11	1.82	1.48	0.67–3.27
India	Goyal et al <sup>32</sup>	Men	0.23	1.74	0.44–6.94	1.91	8.48	6.95–10.35
India	Kumar and Daria <sup>33</sup>	Men	0.23	14.78	5.65–38.68	1.90	8.47	5.61–12.79
India	Math et al <sup>34</sup>	Men	0.24	4.58	3.53–5.96	1.82	5.00	4.58–5.46
India	Ayirolimeethal et al <sup>31</sup>	Women	0.23	13.04*	1.87–90.78	2.64	1.14	0.16–7.91
India	Joshi et al <sup>35</sup>	Women	0.23	17.39*	4.47–67.62	2.62	12.21	8.15–18.30
Western Pacific								
Malaysia	Zamzam and Hatta <sup>37</sup>	Women	0.26	5.00	0.74–33.75	1.57	4.78	2.21–10.31
Pooled prevalence ratio I	..	Total	I <sup>2</sup> =97%	15.83	8.68–28.87	I <sup>2</sup> =98%	5.95	4.41–8.03
Pooled prevalence ratio II (non-admission samples)	..	Men	I <sup>2</sup> =93%	11.10	6.05–20.37	I <sup>2</sup> =97%	6.30	4.35–9.13
Pooled prevalence ratio II (non-admission samples)	..	Women	I <sup>2</sup> =0%	8.26	5.03–13.58	I <sup>2</sup> =89%	5.26	3.10–8.93
Pooled prevalence ratio II (non-admission samples)	..	Total	I <sup>2</sup> =90%	10.68	6.68–17.06	I <sup>2</sup> =97%	5.31	3.94–7.19

\*Admission samples.†Sample reported non-affective psychotic disorders; otherwise, prevalence of schizophrenia was extracted. Population prevalence refers to the sex-specific, country-specific, and year-specific rates in the general population retrieved from the Global Burden of Disease database 2016.

**Table 2: Prevalence ratios of severe mental illness in prison populations in low-income and middle-income countries**



**Figure 3: Random-effects meta-analysis of prevalence studies reporting alcohol use disorders (A) and drug use disorders (B) in prison populations in low-income and middle-income countries**

NA=not applicable.

	Study	Sex	Alcohol use disorders			Drug use disorders		
			Population prevalence	Prevalence ratio		Population prevalence	Prevalence ratio	
				Estimate	95% CI		Estimate	95% CI
Africa								
Burkina Faso	Nanéma et al <sup>25</sup>	Men	1.00	4.50	2.90–7.00	0.39	11.03	7.02–17.32
Nigeria	Adesanya et al <sup>38</sup>	Men	..	..	..	0.37	7.57	4.23–13.53
South Sudan	Ndetei et al <sup>40</sup>	Mixed	1.11	0.90	0.22–3.68	..	..	..
Togo	Salifou et al <sup>43</sup>	Women	0.96	5.10	1.69–15.42	0.30	11.00	2.83–42.80
Americas								
Brazil	Andreoli et al <sup>6</sup>	Men	4.28	0.44	0.30–0.67	1.30	1.00	0.61–1.64
Brazil	Pondé et al <sup>26</sup>	Men	4.29	0.70	0.42–1.15	1.27	7.01	5.29–9.28
Brazil	Silva et al <sup>28*</sup>	Men	4.28	9.88	8.89–10.99	1.30	36.31	32.98–39.97
Brazil	Andreoli et al <sup>6</sup>	Women	1.38	1.74	1.05–2.88	0.72	2.22	1.20–4.13
Brazil	Silva et al <sup>28*</sup>	Women	1.38	23.91	17.84–32.05	0.72	68.75	55.87–84.61
Chile	Mundt et al <sup>7</sup>	Men	3.78	1.32	0.99–1.77	1.38	4.86	3.78–6.24
Chile	Mundt et al <sup>29*</sup>	Men	3.60	9.33	7.78–11.20	1.44	47.29	43.27–51.68
Chile	Mundt et al <sup>7</sup>	Women	1.46	1.78	0.68–4.70	0.78	8.33	4.57–15.20
Chile	Mundt et al <sup>29*</sup>	Women	1.40	9.71	6.84–13.80	0.80	34.13	27.18–42.84
Eastern Mediterranean								
Iran	Assadi et al <sup>36</sup>	Men	0.64	0.22	0.01–3.58	2.50	4.44	3.30–5.97
Southeast Asia								
India	Math et al <sup>34</sup>	Men	2.03	6.90	6.44–7.39	..	..	..
India	Joshi et al <sup>35</sup>	Women	0.43	41.86	23.17–75.64	0.37	16.22	5.41–48.58
Western Pacific								
Malaysia	Zamzam and Hatta <sup>37</sup>	Women	0.32	7.81	1.99–30.70	0.54	20.83	11.26–38.56
Pooled prevalence ratio (non-admission samples)	..	Men	I <sup>2</sup> =99%	1.40	0.45–4.36	I <sup>2</sup> =92%	4.85	2.93–8.04
Pooled prevalence ratio (non-admission samples)	..	Women	I <sup>2</sup> =94%	5.54	1.23–24.92	I <sup>2</sup> =86%	8.98	3.62–22.27
Pooled prevalence ratio (non-admission samples)	..	Total	I <sup>2</sup> =97%	2.43	1.12–5.24	I <sup>2</sup> =89%	6.11	3.98–9.39
* Admission samples. Population prevalence refers to the sex-specific, country-specific, and year-specific rates in the general population retrieved from the Global Burden of Disease database 2016.								
Table 3: Prevalence ratios of substance use disorders in prison populations in low-income and middle-income countries								

**Table 3: Prevalence ratios of substance use disorders in prison populations in low-income and middle-income countries**

(95% CI 1.2–7.6; figure 3), 2.4 times higher than (1.1–5.2) in the general population (table 3). The estimates ranged from 0.0% to 18.0% ( $I^2=98\%$ ,  $p<0.001$ ), and were similar for men (3.7%, 95% CI 0.5–9.4) and women (4.4%, 1.5–8.4; figure 3). Meta-regression indicated geographical variation, with elevated prevalence in the southeast Asian region in comparison to the eastern Mediterranean region ( $\beta=0.140$ ;  $p=0.038$ ; appendix). We recorded higher estimates in lower quality studies ( $\beta=-0.024$ ;  $p=0.001$ ), which could be attributed to two lower quality studies with high prevalence estimates from the southeast Asian region.<sup>34,35</sup> The lifetime prevalence rate of alcohol use disorders (eight samples;  $n=8566$ )<sup>6,26,32,34,36,37</sup> was 27.6% (95% CI 18.6–37.7; men: 32.2%, 22.3–43.0, and women: 15.2%, 12.6–18.0) and varied between 13.8% and 43.4% ( $I^2=99\%$ ,  $p<0.001$ ; figure 3). The small number of samples precluded further analyses.

For the 11 samples reporting 1 year prevalence rates of drug use disorders ( $n=4670$ ),<sup>6,7,25,26,35–38,43</sup> the pooled estimate was 5.1% (95% CI 2.9–7.8), 5.3% (2.5–9.0) in male and 5.0% (1.6–9.8) in female samples—ie, 6.1 times (95% CI 4.0–9.4) higher than in the general population (table 3). The 1 year prevalence of drug use disorders ranged from 1.3% to 11.3% ( $I^2=92\%$ ;  $p<0.001$ ; figure 3). Findings of meta-regression did not show any significant explanation for heterogeneity (appendix). Studies on lifetime prevalence of drug use disorders (11 samples;  $n=9246$ )<sup>6,26,27,32,34,36,37,42</sup> indicated a pooled estimate of 30.6% (95% CI 18.1–44.8; men: 27.2%, 95% CI 12.1–45.7, and women: 36.7%, 95% CI 25.9–48.2), ranging between 6.4% and 75.5% ( $I^2=99\%$ ;  $p<0.001$ ; figure 3). Meta-regression results showed geographical variation between samples with elevated prevalence in the eastern Mediterranean in comparison to the European region ( $\beta=0.627$ ;  $p=0.019$ ; appendix).



Egger's test of asymmetric funnel plot indicated small sample bias for psychotic illnesses ( $p=0.027$ ), current alcohol use disorders ( $p=0.025$ ) and for lifetime drug use disorders ( $p=0.013$ ) in non-admission studies. After excluding the study with the lowest quality score, which also had the largest sample size,<sup>34</sup> evidence for publication bias did not remain significant (appendix).

## Discussion

Our findings suggest that incarcerated individuals in LMICs have a higher prevalence of psychiatric disorders than the general population and that rates at arrival to prison are elevated. Furthermore, our results show that there is geographical variation in the prevalence of substance use disorders.

The study had several limitations. Our findings are based on only 13 of more than 100 LMICs, and we could not identify any studies meeting our criteria from China, which has the largest prison population among LMICs. Additionally, there was high heterogeneity between studies. This was not unexpected as the included countries are substantially different in terms of their criminal and health-care systems.

Consistent with systematic reviews from prisoners in HICs,<sup>4,8</sup> our findings provide evidence for higher prevalence of psychiatric disorders in incarcerated people than in the general population.<sup>46,47</sup> Imprisoned individuals often have a low socioeconomic background, belong to minority groups, and have histories of childhood victimisation and substance abuse, which make them vulnerable to psychiatric disorders.<sup>9,48</sup> While in prison, poor living conditions,<sup>3</sup> physical assault<sup>20</sup> and psychological abuse<sup>5</sup> can further contribute to mental health disorders.

Although general population reviews indicate a lower prevalence of schizophrenia<sup>47</sup> and major depression<sup>46</sup> in LMICs than in HICs, we did not find this among prisoners.<sup>4</sup> A high prevalence of severe mental illness in prisoners in LMICs could relate to poorly developed community mental health-care systems that do not yet reach socially deprived and marginalised populations in these countries. Human rights violations among individuals with mental health problems during imprisonment, especially for those with psychotic conditions, have been reported to be more common in poorly resourced settings.<sup>5</sup>

Upon arrival to prison, we found similar 1 year prevalence estimates of alcohol and drug use disorders as those reported for individuals in HICs.<sup>8</sup> These are comparable to lifetime rates and provide information about the substance use problems before imprisonment. However, the estimates on current prevalence among non-intake samples represent the average disease burden during imprisonment, which might be relevant for service planning. Even though addictive substances are available in most prisons in LMICs,<sup>48</sup> the prevalence of substance use disorders for this population is substantially lower during imprisonment than for the same population while

outside of prison. We found regional variation in the prevalence of substance use disorders, possibly linked to regional differences of the substances used.<sup>48</sup> The highest rates of alcohol use disorders were found in studies from India,<sup>34,35</sup> while the highest rate for drug use disorders was reported in a study from Iran.<sup>36</sup> While lower rates of substance use disorders in women are found in the general population,<sup>46</sup> this is typically not the case for prison populations. The rates of substance use disorders among prisoners start considerably higher than population comparisons independent of sex, likely due to substance use being a major driver of criminality.<sup>49</sup> In HICs, incarcerated women have similar rates of alcohol use disorders as incarcerated men and a higher prevalence of illicit drug use disorders than men.<sup>8</sup> This difference can be explained by lower rates of female incarceration and hence women in prison being a more selected group of high-risk individuals with elevated rates of substance use problems.

Admission studies indicated higher rates of psychosis and major depression at arrival to prison compared with investigations that included prisoners at later stages of imprisonment, which is consistent with longitudinal studies from HICs reporting high rates of psychiatric disorders at intake to prison.<sup>50,51</sup> However, this finding was based on only two intake studies conducted in Latin American countries. The very high prevalence of severe mental illness at intake to prison in those countries could be linked to the use of cocaine products before imprisonment.<sup>29,48,51</sup> There are several possible explanations for lower rates of mental health symptoms at later stages of imprisonment in spite of the harsh conditions of LMICs prisons including: reduced access to substances during imprisonment, protection or removal from adverse social environments outside of prisons, development of coping mechanisms,<sup>50</sup> some availability of treatment services, and diversion of mentally ill prisoners.<sup>3</sup> However, the literature points to substantial unmet health-care needs.<sup>3</sup>

Our findings have several implications. First, the low number of included samples emphasises the paucity of epidemiological investigations in LMICs. Although more than 100 high quality samples provide reliable evidence of psychiatric disorders in prisons in HICs,<sup>20</sup> we found only 30 samples from a much more diverse group of countries. Further evidence is needed to adequately plan interventions for prisoners with mental disorders in LMICs, especially from regions underrepresented in research such as central and east Asia, and Central America. Second, cost-effective interventions and scalable treatments should be prioritised, either by adapting existing programmes from HICs to local conditions or by developing new programmes on a large scale (eg, interventions at the transition from prison to the community for individuals with mental illness).<sup>52,53</sup> Effective psychological treatments in prison settings have been reported for HICs<sup>52</sup> and some might be transferable to resource-poor settings. Furthermore, community interventions in LMICs, such as enhancing health literacy,<sup>54</sup> using digital technologies in prevention,<sup>55</sup> as well as

treatments of severe mental disorders,<sup>56</sup> have shown promising ways of addressing the mental health treatment gap. Some of these interventions could also be used to prevent and treat psychiatric disorders in prison populations.

Finally, imprisonment could present an opportunity to treat people with mental health and substance use problems who otherwise would be difficult to reach for health services;<sup>4</sup> however, neither the funding nor qualified staff for such treatments are usually available in prisons. National governments in LMICs should move the responsibility for prison health care from prison administrations to the national health services.<sup>5</sup> In conclusion, our findings of high prevalence estimates for major mental health and substance use disorders among prisoners in LMICs present an important global mental health challenge, indicate a treatment gap, and might raise concerns about human rights violations.

#### Contributors

GB, APM, SF, VP, and SP conceived, planned, and oversaw the study. GB and CS searched the literature, applied inclusion and exclusion criteria, extracted data, and conducted quality assessment. Disagreements between reviewers were resolved by consensus with APM. GB, SF, and APM developed the methodology and conducted the statistical analyses. GB and APM drafted the manuscript; all authors reviewed, commented on, and approved it.

#### Declaration of interests

We declare no competing interests.

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